



# HOTTINGER

## Hottinger & Co. Limited

CRD # 316515  
SEC File # 801-122761

4 Carlton Gardens, St James's  
London SW1Y 5AA United Kingdom  
TEL: + 44 207 227 3405  
[admin@hottinger.co.uk](mailto:admin@hottinger.co.uk)  
[www.hottinger.co.uk](http://www.hottinger.co.uk)

**Brochure Supplement / Form ADV Part 2B**  
as part of  
**Hottinger & Co Limited Brochure / Form ADV Part 2A**  
For

### Robert Cloete

Robert can be reached at:

4 Carlton Gardens  
London  
SW1Y 5AA

Phone: +44 20 7227 3410  
E-mail: [robert.cloete@hottinger.co.uk](mailto:robert.cloete@hottinger.co.uk)

18 September 2024

*This brochure supplement provides information about Robert Cloete that supplements Hottinger & Co. Limited's brochure. You should have received a copy of that brochure. Please contact Robert if you did not receive Hottinger & Co. Limited's brochure or if you have any questions about the contents of this supplement.*

## **ITEM 2 – EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE**

Function: Supervised Person

Robert Cloete

25 March 1981

Investment Director

Robert joined Hottinger & Co Limited in April 2024 as an Investment Director managing discretionary and advisory portfolios and is responsible for researching new stock and collective ideas, investment themes, and portfolio modelling. Robert has worked in financial services since 2004, mainly for banking and wealth management firms, and has held numerous senior manager and leadership positions. Robert holds multiple degrees from the University of Cape Town: Postgraduate Diploma in Accounting, Bachelor of Commerce, and Bachelor of Business Science. Robert is also a CFA charter holder, qualified Chartered Accountant and holds the Investment Management Certificate (IMC) Level 4, Unit 1.

## **ITEM 3 – DISCIPLINARY INFORMATION**

No information is applicable to this item.

## **Item 4 – Other Business Activities**

There are no other substantial business activities that are material to a client's or prospective client's evaluation of this supervised person.

## **Item 5 – Additional Compensation**

Robert Cloete does not receive additional compensation from non-clients for providing advisory services, nor does he receive a bonus other than his regular bonus.

## **ITEM 6 – SUPERVISION**

Robert Cloete is subject to Hottinger & Co. Limited Code of Ethics and compliance policies and procedures ("Compliance Program") as described in more detail in Hottinger & Co. Limited's brochure. In addition to establishing certain standards for professional conduct, the Compliance Program imposes specific requirements aimed at preventing, detecting, and correcting fraudulent activity or activities that would pose a conflict of interest in connection with personal transactions. Among other measures imposed by the Compliance Program, Robert Cloete, as an "access person" of Hottinger & Co. Limited is required to submit initial, quarterly, and annual reports of his accounts and securities positions to the Chief Compliance Officer. Please see item 11 of Hottinger & Co. Limited's brochure for more information about our Code of Ethics.