



# HOTTINGER

## Hottinger & Co. Limited

CRD # 316515  
SEC File # 801-122761

4 Carlton Gardens, St James's  
London SW1Y SAA United Kingdom  
TEL: + 44 207 227 3405  
[admin@hottinger.co.uk](mailto:admin@hottinger.co.uk)  
[www.hottinger.co.uk](http://www.hottinger.co.uk)

**Brochure Supplement / Form ADV Part 2B**  
as part of  
**Hottinger & Co Limited Brochure / Form ADV Part 2A**  
For

## Mark Dooner

Mark can be reached at:

4 Carlton Gardens  
London  
SW1Y 5AA

Phone: +44 20 3960 7672  
E-mail: [mark.dooner@hottinger.co.uk](mailto:mark.dooner@hottinger.co.uk)

18 September 2024

*This brochure supplement provides information about Mark Dooner that supplements Hottinger & Co. Limited's brochure. You should have received a copy of that brochure. Please contact Mark if you did not receive Hottinger & Co. Limited's brochure or if you have any questions about the contents of this supplement.*

## **ITEM 2 – EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE**

Function: Supervised Person

Mark Dooner

17 October 1971

Director, Family Office

Mark Dooner joined Hottinger & Co Limited in January 2023 as a Director of Hottinger's Family Office Wealth Management and Advisory business providing investment advice, wealth management, trust and family office services to ultra-high net worth (UHNW) families, as well as formulating clients' investment strategies. Mark holds an MBA from the Open University, a BA in Law & Management Science from the University of Keele and the Chartered Institute for Securities & Investments (CISI) Investment Advice Diploma.

## **ITEM 3 – DISCIPLINARY INFORMATION**

No information is applicable to this item.

## **Item 4 – Other Business Activities**

There are no other substantial business activities that are material to a client's or prospective client's evaluation of this supervised person.

## **Item 5 – Additional Compensation**

Mark Dooner does not receive additional compensation from non-clients for providing advisory services, nor does he receive a bonus other than his regular bonus.

## **ITEM 6 – SUPERVISION**

Mark Dooner is subject to Hottinger & Co. Limited Code of Ethics and compliance policies and procedures ("Compliance Program") as described in more detail in Hottinger & Co. Limited's brochure. In addition to establishing certain standards for professional conduct, the Compliance Program imposes specific requirements aimed at preventing, detecting, and correcting fraudulent activity or activities that would pose a conflict of interest in connection with personal transactions. Among other measures imposed by the Compliance Program, Mark Dooner, as an "access person" of Hottinger & Co. Limited is required to submit initial, quarterly, and annual reports of his accounts and securities positions to the Chief Compliance Officer. Please see item 11 of Hottinger & Co. Limited's brochure for more information about our Code of Ethics.